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UTILITY PATENT APPLICATION TRANSMITTAL <i>Only for new nonprovisional applications under 37 CFR 1.53(b)</i>		Attorney Docket No. 16514																													
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		First Inventor or Application Identifier James J. Kernz																													
		Title Integrated Market Exchange System, Apparatus and Method for Facilitating Trade in Graded Encapsulated Objects																													
		Express Mail Label No. EV 329825824																													
		MAIL STOP PATENT APPLICATION Commissioner for Patents ADDRESS TO: P. O. Box 1450 Alexandria, VA 22313-1450																													
<div style="display: flex; justify-content: space-between;"><div style="width: 48%;"><p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i></p><p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>(See 37 CFR 1.27)</i></p><p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>69</u>] <i>(preferred arrangement set forth below)</i></p><p>— Descriptive title of the Invention</p><p>— Cross References to Related Applications</p><p>— Statement Regarding Fed sponsored R&D</p><p>— Reference to sequence listing, a table, or a computer program listing appendix</p><p>— Background of the Invention</p><p>— Brief Summary of the Invention</p><p>— Brief Description of the Drawings <i>(if filed)</i></p><p>— Detailed Description</p><p>— Claim(s)</p><p>— Abstract of the Disclosure</p><p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>21</u>]</p><p>5. Oath or Declaration [Total Pages <u>2</u>]</p><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> [Note Box 5 below]</p><p style="margin-left: 40px;">DELETION OF INVENTORS</p><p>i. <input type="checkbox"/> Signed statement attached deleting inventor(s) named in the prior application, see CFR §§ 1.63(d)(2) and 1.33(b).</p><p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p></div><div style="width: 48%;"><p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p><p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p><p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p><p>b. Specification Sequence Listing on:</p><p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p><p>ii. <input type="checkbox"/> Paper</p><p>c. <input type="checkbox"/> Statement verifying identity of above copies</p></div></div>																															
ACCOMPANYING APPLICATION PARTS																															
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & documents)</p> <p>10. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>.</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certificate under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent</p> <p>17. <input checked="" type="checkbox"/> Other: <u>Appendix (74 pages)</u></p>																															
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP)</p> <p>Prior application information: Examiner <u>Susan F. Rayyan</u> of prior Application No. <u>10 / 055,603</u></p> <p>Group/Art Unit <u>2177</u></p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>																															
19. CORRESPONDENCE ADDRESS																															
<p><input checked="" type="checkbox"/> Customer Number <u>04859</u> or <input type="checkbox"/> Correspondence address below</p> <p style="text-align: center;">PATENT TRADEMARK OFFICE</p> <table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="4">Name William J. Clemens, Esq.</td></tr><tr><td colspan="4">Address</td></tr><tr><td colspan="2">City</td><td colspan="2">Zip Code</td></tr><tr><td>Country</td><td>Telephone</td><td>Fax</td><td></td></tr><tr><td colspan="2">734/542-0900</td><td>734/542-9569</td><td></td></tr><tr><td colspan="2">Name (print/type) William J. Clemens</td><td colspan="2">Registration No. (Attorney/Agent) 26,855</td></tr><tr><td colspan="2">Signature <i>William J. Clemens</i></td><td>Date</td><td>August 27, 2003</td></tr></table>				Name William J. Clemens, Esq.				Address				City		Zip Code		Country	Telephone	Fax		734/542-0900		734/542-9569		Name (print/type) William J. Clemens		Registration No. (Attorney/Agent) 26,855		Signature <i>William J. Clemens</i>		Date	August 27, 2003
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231 c:\2067\16514.sb05.doc

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Terri L. Fox
Terri L. Fox

03970 U.S. PTO
10/649039
08/27/03

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FEE TRANSMITTAL		Complete if known	
For FY 2003		Application Number	
Effective 01/01/2003. Patent fees are subject to annual revision.		Filing Date	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		First Named Inventor	James J. Kernz
TOTAL AMOUNT OF PAYMENT (\$ 843)		Examiner Name	
		Group/Art Unit	
		Attorney Docket No.	16514

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																								
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Acct. No. <u>13-0005</u> Deposit Acct. Name <u>MacMillan, Sobanski, & Todd, LLC</u> The Commissioner is authorized to: (Check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this action <input type="checkbox"/> Charge fee(s) indicated below, except the filing fee, to the above-identified deposit account.	3. ADDITIONAL FEES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting a publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within 1st month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within 2nd month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within 3rd month</td><td></td></tr> <tr><td>1254</td><td>1,440</td><td>2254</td><td>720</td><td>Extension for reply within 4th month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within 5th month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Request for Continued Examination</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="6">Other fee (specify) _____</td></tr> <tr> <td colspan="4"> SUBTOTAL (1) \$ 375 2. 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Typed or Printed Name	William J. Clemens	Reg. No. 26,855
Signature		Deposit Account User ID
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